Stereoselectivity in the Substitution Reaction of Square-planar Platinum(II) Complexes determined *in situ* by Nuclear Magnetic Resonance Spectroscopy using a Chiral Solvent

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By use of a chiral solvent [(S)-(+)-2,2,2-trifluoro-1-phenylethanol], the stereoselectivity in the associative ligand-substitution reaction of *trans*-[PtCl₂(SR₂)(*R*,*S*-Val-OMe)] (Val-OMe = *R*,*S*-valine methyl ester (R = Me, CH₂Ph, or Bu') with *R*- or *S*-1-phenylethylamine has been determined *in situ* by time-sequential ¹H n.m.r. As compared with the stereoselection in the formation of stable bis(amino acidate)-platinum(II) complexes without a third binding site in the amino acid, the observed kinetic stereoselectivity is substantial (6–10% excess), which suggests closer arrangement of chiral ligands in the trigonal-bipyramidal state. The importance of this reaction, which is close to an elementary process, is that it can give a detailed understanding of more complex asymmetric reactions.

While development of effective catalysts for various types of asymmetric syntheses is one of the remarkable achievements in the field of homogeneous catalysis,^{1,2} it still lacks total rationalization. It was recently pointed out for asymmetric hydrogenation that the stereoselection was dictated not by the catalyst-substrate adduct formed in the pre-equilibrium step ('lock-and-key' model), but rather by the difference in the activation energy of the subsequent reaction.³

We have been interested in the stereoselection in stable platinum(II) complexes including a chiral amino-acid ligand.^{4,5} In the present study, as an extension of these studies, stereoselection in the transition state is investigated. We chose the ligand-substitution reaction of square-planar platinum(II) complexes [equation (i)], because a trigonal-bipyramidal state

$trans-[PtCl_2(SR_2)(Val-OMe)] + PhCH(NH_2)CH_3 \longrightarrow trans-[PtCl_2(SR_2){PhCH(NH_2)CH_3}] + Val-OMe \quad (i)$

is expected to be incorporated as a sole step for stereoselection, without the complexity of usual asymmetric reactions; R = Me, CH_2Ph , or Bu' and Val-OMe \dagger is *N*-co-ordinating *R*- or *S*-valine methyl ester.

When the racemic form of Val-OMe is taken for the platinum(II) complexes and a pure enantiomer is adopted for 1-phenylethylamine, inequivalent amounts of R- and S-Val-OMe would be liberated due to the stereoselection in the substitution reaction. It should be noted that there is virtually no energy difference between Val-OMe enantiomers both in the reactant (co-ordinated) and the product (liberated) states; this type of purely-kinetic diastereomeric differentiation has been rarely investigated for transition-metal amine or aminoacid complexes in comparison to a thermodynamic one.^{6,7}

Relative amounts of liberated *R*- and *S*-Val-OMe in the course of the reaction were measured *in situ* by ¹H n.m.r., where a chiral n.m.r. solvent (S)-(+)-2,2,2-trifluoro-1-phenylethanol [(S)-(+)-tfpe] ⁸ had been added to the solvent beforehand so as to separate the corresponding peaks throughout the reaction. As demonstrated here, this technique provides a facile method for an accurate determination of stereoselectivity even for the reactions of small differentiation, ensuring the identity of reaction conditions (concentrations, temperature, *etc.*).

† The abbreviations used for amino acids follow the IUPAC-IUB recommendations [see *Pure Appl. Chem.*, 1984, **56**(5), 595].

Table 1. Proton n.m.r. data * for trans-[PtCl₂(SR₂){NH₂CH(CO-OMe)CHMe₂}]

R	Chemical shift (δ)			
Me	1.07 (Me ₂ C, ${}^{3}J_{HH} = 6.4$), 3.79 (MeO), 2.40 (Me ₂ S,			
CH₂Ph	${}^{3}J_{P1H} = 42.9$) 1.00, 1.04 (Me ₂ C, ${}^{3}J_{HH} = 6.8$), 3.71 (MeO), 4.0 (br,			
Bu'	(H_2) , 7.25 (or, Pn) 1.09 (Me ₂ C, ${}^{3}J_{HH} = 6.4$), 3.75 (MeO), 1.67 (Me ₃ C)			

* Measured in CDCl₃ at 35 °C. Chemical shifts (δ) in p.p.m. relative to SiMe₄, positive values representing shifts to high frequency. *J* Values are in Hz. CH protons positioned α and β to NH₂ commonly appear at δ 4.0 (br) and 2.5 (br) p.p.m., respectively.

Table 2. Carbon-13 n.m.r. data ^{*a*} for *trans*-[PtCl₂(SR₂){NH₂CH-(COOMe)CHMe₂}]

Chemical shift (δ) NH₂CH(COOMe)CHMe₂

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R	Ме	C,H	C _B H	OMe	CO	SR ₂
Me	17.53,	62.25	31.29	52.47	171.45	b
	18.67	(8.3)	(18.6)			
CH₂Ph	17.32,	62.21	31.04	52.43	171.33	с
	18.83	(8.3)	(18.1)			
But	17.86,	62.17	30.88	52.47	171.54	d
	18.62	(8.3)	(16.6)			

^{*a*} Measured in CDCl₃ at 29 °C. Chemical shifts (δ) in p.p.m. relative to SiMe₄, positive values representing shifts to high frequency. Values in parentheses are "J(PtC)/Hz. ^{*b*} Me, 22.72 (13.9). ^{*c*} CH₂, 40.33 (25.6); Ph, 127.99, 128.52, 129.90, 131.18. ^{*d*} CMe₃, 32.14 (17.7); CMe₃, 55.47.

Results and Discussion

Preparation and Structure of trans-[PtCl₂(SR₂)(Val-OMe)] (R = Me, CH₂Ph, or Bu¹).—This was prepared by the 1 : 1 molar reaction of trans-[PtCl₂(η -C₂H₄)(Val-OMe)] and SR₂ in dichloromethane, with evolved ethene removed under slightly reduced pressure. The trans disposition of the obtained complex is substantiated by the following facts. (i) The reaction of trans-[PtCl₂(η -C₂H₄)(py)] (py = pyridine) with Me₂SO under the same conditions leads to the exclusive formation of the well characterized S-co-ordinated complex trans-[PtCl₂(SR₂)(Val-OMe)] with 1-phenylethylamine is exactly composed of the



Figure 1. Time-sequential ¹H n.m.r. spectra obtained *in situ* for the substitution reaction of *trans*-[PtCl₂(SMe₂)(*R*,*S*-Val-OMe)] with 1-phenylethylamine (27 °C, CCl₄ solvent): after 70 (*a*) and 905 s (*b*) from the moment of setting the n.m.r. sample tube to the spectrometer. The peak near 0 p.p.m. is an external SiMe₄ reference employed to monitor the spectral resolution. Initial concentrations were 0.622 and 0.520 mol dm⁻³ for the complex and amine, respectively

substitution of the Val-OMe ligand with 1-phenylethylamine (see below), which is consistent with the highest *trans* effect of SR_2^{10} in this complex. The results of ¹H and ¹³C n.m.r. characterization for *trans*-[PtCl₂(SR₂)(Val-OMe)] are given in Tables 1 and 2, respectively.

Reaction of trans-[PtCl₂(SR₂)(Val-OMe)] with 1-Phenylethylamine.—Figure 1 shows representative ¹H n.m.r. spectra, which were taken time-sequentially to follow the reaction of trans-[PtCl₂(SMe₂)(Val-OMe)] (1) with 1-phenylethylamine in CCl₄. It is apparent that the intensity of the methoxy protons of (1) (δ 3.79 p.p.m.) decreases with time while that of liberated Val-OMe (δ 3.61 p.p.m.) increases. Since the (CH₃)₂S signal of (1) (δ 2.40 p.p.m. with ¹⁹⁵Pt satellites) is unchanged in the spectra, either the substitution of this ligand itself or a ligand-exchange reaction other than that between N-bonded ligands in the trans-position ¹¹ can be excluded.

The behaviour of other peaks in the spectra is also consistent with the progress of the substitution of the Val-OMe ligand with 1-phenylethylamine. These features were confirmed to hold up to ca. 50% conversion for all the *trans*-[PtCl₂(SR₂)(Val-OMe)] complexes.



Figure 2. Second-order plot for the substitution reaction of *trans*-[PtCl₂(SMe₂)(R,S-Val-OMe)] with 1-phenylethylamine (35 °C, CCl₄ solvent). Initial concentrations were 0.100 and 0.138 mol dm⁻³ for the complex and amine, respectively



Figure 3. Rate constant (k) as a function of Taft parameter σ^* of the sulphide substituent R for the substitution reaction of *trans*-[PtCl₂(SR₂)(R,S-Val-OMe)] with 1-phenylethylamine (0 °C). Solvent is CCl₄ except for R = CH₂Ph (CDCl₃). The SPh₂ derivative was also measured; since the rate was fast in comparison to the other three stereoselectivity was not determined in this case

Associative Mechanism of the Substitution Reaction.—The ligand-substitution reactions of square-planar four-co-ordinate *trans*-[PtX₂AB] complexes occur *via* five-co-ordinate transition states (unstable intermediates) which have trigonalbipyramidal structures; the exchange of ligand B for C occurs in the trigonal (equatorial) plane with retention of the *trans* arrangement of the X ligands [equation (ii)].¹⁰



The associative nature of the present substitution reaction was confirmed, because the reaction rate was analysed well by the second-order kinetics. A typical second-order plot is given in Figure 2. Notably the second-order rate constants correlate linearly with Taft σ^* values ¹² of the sulphide substituents R (Figure 3). It can be said that the more electron-withdrawing the substituent, the faster is the reaction rate.

The observed tendency is consistent with a theoretical analysis of Rossi and Hoffmann,¹³ who showed that the squarepyramidal five-co-ordination is stabilized with increasing π -accepting ability of the equatorial ligand.¹⁴ This may support



Figure 4. Proton n.m.r. spectrum (methoxy region) of R,S-valine methyl ester dissolved in (S)-(+)-2,2,2-trifluoro-1-phenylethanol-CCl₄ (12:88 w/w) mixed solvent (27 °C)

the residence of an SR_2 ligand at the equatorial site in the trigonal-bipyramidal state [equation (ii)].

Stereoselectivity in the Substitution Reaction of trans-[PtCl₂(SR₂)(R,S-Val-OMe)] with R- or S-1-Phenylethylamine. —The ¹H n.m.r. spectrum of racemic Val-OMe is shown in Figure 4; it was taken using the synthesized (S)-(+)-tfpe-CCl₄ (12: 88 w/w) mixed solvent. The exact 1: 1 peak ratio observed assures the validity of ¹H n.m.r. analysis for determining the relative abundance of Val-OMe enantiomers.

In determining the stereoselectivity of the corresponding substitution reaction, the ligand-exchange reaction between the reactant *trans*-[PtCl₂(SR₂)(R,S-Val-OMe)] and the product R- and S-Val-OMe can cause a problem: if the rate of the exchange reaction is much faster than the substitution reaction, determination of the stereoselectivity becomes uncertain. In order to clarify this uncertainty, we pursued the reaction of *trans*-[PtCl₂(SMe₂)(S-Val-OMe)] with R,S-Val-OMe in a chiral solvent [(S)-(+)-tfpe-CCl₄, 12:88 w/w]. Figure 5 shows time-sequential ¹H n.m.r. spectra taken *in situ* for this reaction.

It is apparent that while the enantiomer ratio of uncoordinated Val-OMe is nearly unity at an early stage of the reaction, the relative abundance of S-Val-OMe to R-Val-OMe becomes gradually greater. The second-order rate constant k_E was determined from the rate expression (iii), where a and b

$$\frac{\mathrm{d}x}{\mathrm{d}t} = k_{\mathrm{E}}(a-x)\left(\frac{b}{2}-x\right) - k_{\mathrm{E}}x\left(\frac{b}{2}+x\right) \quad \text{(iii)}$$

are initial concentrations of the complex and R,S-Val-OMe, respectively, and x is the concentration of *trans*-[PtCl₂(SMe₂)-(R-Val-OMe)] at time t. Integration of equation (iii) with the limit of x = 0 at t = 0 yields equation (iv).

$$\frac{1}{a+b}\ln\left[\frac{ab}{ab-2(a+b)x}\right] = k_{\rm E}t \qquad ({\rm iv})$$

The value of $k_{\rm E}$ was determined as 7.8×10^{-5} dm³ mol⁻¹ s⁻¹ (0 °C), which is very close to the second-order rate constant of the substitution reaction (7.7×10^{-5} dm³ mol⁻¹ s⁻¹, 0 °C).



Figure 5. Time-sequential ¹H n.m.r. spectra obtained *in situ* for the exchange reaction of *trans*-[PtCl₂(SMe₂)(S-Val-OMe)] with R,S-Val-OMe [0 °C, (S)-(+)-tfpe-CCl₄ mixed solvent]: 68 (a), 215 (b), and 360 min (c). Initial concentrations were 0.330 and 0.086 mol dm⁻³ for the complex and amine, respectively

Comparable magnitudes of these rate constants was also found at 25 °C (8.8×10^{-4} , 9.0×10^{-4} dm³ mol⁻¹ s⁻¹). In view of the similarity of the two types of reactions (*N*-ligand/ *N*-ligand substitution), the observed feature seems reasonable. Hence the stereoselectivity may be determined safely, if the conversion of the substitution reaction is limited below about 10%, because the interference from the exchange reaction would only cause an error of less than 10% in its accuracy.

Stereoselection in the substitution reaction of *trans*-[PtCl₂(SR₂)(R,S-Val-OMe)] with R- or S-1-phenylethylamine was pursued and analysed in the same manner, *i.e.* measuring the relative abundance of the liberated R- and S-Val-OMe. We formulate the stereoselectivity as the ratio of the rate constants k_{SR}/k_{RR} in equation (v), where [R], [S], and [A]

$$-\frac{\mathbf{d}[R]}{\mathbf{d}t} = k_{RR}[R][\mathbf{A}], -\frac{\mathbf{d}[S]}{\mathbf{d}t} = k_{SR}[S][\mathbf{A}] \qquad (\mathbf{v})$$

are respectively the concentrations of trans-[PtCl₂(SR₂)(R-Val-OMe)], trans-[PtCl₂(SR₂)(S-Val-OMe)], and unco-ordinated R-1-phenylethylamine at time t. Division and integration for equation (v) gives equation (vi), where [S]₀ and

$$\frac{k_{SR}}{k_{RR}} = \frac{\log([S]/[S]_0)}{\log([R]/[R]_0)}$$
(vi)

R	k _{sr} /k _{rr}	k _{rs} /k _{ss}	Solvent ^b
Me	1.13	1.06	CCl
CH₂Ph	1.08	1.13	CDCl
But	1.27	1.18	CCl₄

^a Standard deviations are ca. 3%; reaction temperature 21 °C. ^b Each solvent contains (S)-(+)-2,2,2-trifluoro-1-phenylethanol (12% w/w).

 $[R]_0$ are initial concentrations. If we define c and r as the conversion of the substitution reaction and the ratio of the liberated R- and S-Val-OMe, respectively [equation (vii)], this gives equation (viii). We can calculate k_{SR}/k_{RR} using equations (vi) and (viii).

$$c = \frac{([R]_0 - [R]) + ([S]_0 - [S])}{[R]_0 + [S]_0}, r = \frac{[R]_0 - [R]}{[S]_0 - [S]} \quad (vii)$$

$$\frac{[S]}{[S]_0} = 1 - \frac{2c}{1+r}, \frac{[R]}{[R]_0} = 1 - \frac{2cr}{1+r}$$
(viii)

Several sets of c and r values were obtained in the range below 10% conversion. The stereoselectivity data are summarized in Table 3. Since the differences between k_{SR}/k_{RR} and k_{RS}/k_{SS} are small, the possible effect of extra chirality due to the presence of chiral solvent molecules is probably negligible.

Consideration of the Stereoselection.-While metalloenzymes exhibit a high degree of stereospecificity, a model metal complex which bears a chiral amino-acidate ligand lacks stereoselectivity in binding to a second amino acidate, unless the amino acid has a third binding site as in histidine, penicillamine, etc.¹⁵ This is apparently due to the large separation between the chiral centres,16 even if the co-ordinated amino acidates form rigid five-membered chelate rings, which generally display higher asymmetric induction than more flexible seven- or higher-membered chelate rings.^{1,2} The character of the chiral molecules adopted in the present kinetic stereoselection seems similar to the case of bis(amino acidate) complexes; they are N-co-ordinated with an asymmetric carbon at the α -position to the amino group. Moreover, the internal rotation of the chiral ligands may be a disadvantageous factor for effective inter-ligand chiral recognition.^{17,18}

Since the stereoselectivity is determined in the trigonalbipyramidal state, we ascribe the substantial stereoselectivity observed here (1.2 corresponds to 10% diastereomeric excess) to a closer arrangement of the two chiral molecules in the trigonal plane, compared with the above situation. The highest efficiency of the bulkiest auxiliary ligand SBu⁴₂ may support this view.

One of the stable conformations assumed for the SR and RR diastereomers in the trigonal-bipyramidal state is depicted in Figure 6; asymmetric carbons are located opposite to each other with respect to the trigonal plane, and non-bonded repulsive interactions with chlorine ligands are minimized. With these conformations, the SR configuration seems more stable than the RR configuration from the viewpoint of a smaller repulsive interaction between the substituents (CH₃··· Pr¹ < CH₃··· COOMe).

As selected here, the reaction which is as close as possible to an elementary step may be a promising clue to a detailed understanding of more complex asymmetric reactions,



Figure 6. Conformation of the chiral amine ligands assumed stable in the trigonal-bipyramidal state (SR₂ and Cl ligands omitted). Configuration of 1-phenylethylamine is R with varying configuration of value methyl ester

generally composed of multiple steps, participating in stereo-selection.

Experimental

Hydrogen-1 n.m.r. spectra were recorded on a JEOL PS-100 (100 MHz) spectrometer. Carbon-13 n.m.r. spectra were obtained with noise-modulated proton decoupling on a Fourier-transform pulsed n.m.r. spectrometer (JEOL FX-60, 15 MHz). Specific rotations were taken with a Jasco DIP-4 digital polarimeter.

(S)-(+)-2,2,2-Trifluoro-1-phenylethanol was synthesized following the procedure of Nasipuri and Bhattacharya ¹⁹ with 68% enantiomeric excess of the (S)-(+)-enantiomer. The hydrochloride salt of valine methyl ester, Me₂CHCH-(COOMe)NH₃+Cl⁻, was prepared by the literature method.²⁰ The starting complex K[PtCl₃(η -C₂H₄)]·H₂O (Zeise's salt) was obtained by the method of Cramer *et al.*²¹

trans-[PtCl₂(η-C₂H₄)(Val-OMe)].—The hydrochloride salt of valine methyl ester (3.45 mmol) dissolved in water (5 cm³) was added slowly to an aqueous solution (25 cm³) of Zeise's salt (3.63 mmol) at 0 °C. Neutralization of the solution at 0 °C with aqueous NaHCO₃ to pH 7 gave a yellow oil, which was extracted with diethyl ether and then dried (MgSO₄). Crystallization from diethyl ether yielded yellow crystals (1.43 g, 97%) (Found: C, 22.1; H, 4.1; N, 3.4. C₈H₁₇Cl₂NO₂Pt requires C, 22.6; H, 4.0; N, 3.3%).

trans-[PtCl₂(SR₂)(Val-OMe)].— Since the same route was used, only one example of each type of synthesis is given. S(CH₂Ph)₂(1.34 mmol) dissolved in CH₂Cl₂ (5 cm³) was added slowly to a CH₂Cl₂ solution (50 cm³) of *trans*-[PtCl₂(η-C₂H₄)-(Val-OMe)] (1.38 mmol) at 0 °C. The solution was allowed to stand at 0 °C with stirring under a slightly reduced pressure. Continued evacuation gave a yellow oil, which was purified by column chromatography (Florisil; 60—100 mesh, inside diameter 2 cm, length 35 cm) with CH₂Cl₂ as eluant. Crystallization from CH₂Cl₂-light petroleum (b.p. 30—60 °C) gave yellow crystals (0.59 g, 72%), m.p. 146—147 °C (Found: C, 38.9; H, 4.8; N, 2.6. C₂₀H₂₇Cl₂NO₂PtS requires C, 39.3; H, 4.5; N, 2.3%).

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